

# INDIANA DEPARTMENT OF INSURANCE RULEMAKING DOCKET

## LSA Document #13-6— Credit for Reinsurance

### Subject Matter of the Rule:

Amends 760 IAC 1-56 to add a process by which alien reinsurers may qualify to post reduced collateral to satisfy state credit for reinsurance standards that apply to U.S. cedants. Includes new notice requirements for ceding insurers concerning concentrations of risk and clauses required to be included in reinsurance agreements for ceding insurers to receive credit for reinsurance. Effective 30 days after filing with the Publisher.

### Notices Related to the Proposed Rule:

- Notice of Intent <http://www.in.gov/legislative/iac/20130116-IR-760130006NIA.xml.pdf>
- Proposed Rule <http://www.in.gov/legislative/iac/20130522-IR-760130006PRA.xml.pdf>
- Notice of Public Hearing <http://www.in.gov/legislative/iac/20130522-IR-760130006PHA.xml.pdf>
- Economic Impact Statement <http://www.in.gov/legislative/iac/20130522-IR-760130006EIA.xml.pdf>
- Comments of the Indiana Economic Development Corporation  
<C:\Users\MBrumbaugh\Desktop\IEDC Response Letter 13-6.pdf>

### Public Comments May Be Made:

Via e-mail: [mbrumbaugh@idoi.in.gov](mailto:mbrumbaugh@idoi.in.gov)  
Via facsimile: (317) 232-5251  
Via U.S. mail: Meggan Brumbaugh  
Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

### Time Within Which Public Comments May Be Made:

Public comments may be made now and through the date of the public hearing.

**Public Comments May Be Inspected Upon Request At:**

Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

**Requests for Public Hearing:**

None.

**Public Hearing Information:**

June 13, 2013  
1:00 p.m.  
Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

**Relevant Scientific and Technical Findings Related to the Proposed Rule:**

None.

**Timetable for Action\*:**

Date of filing of proposed rule: April 26, 2013  
Date of public hearing: June 13, 2013  
Date of final adoption: November 20, 2013  
Date of filing with the Office of the Attorney General: December 2, 2013  
Anticipated date of review by the Governor: January 15, 2014  
Anticipated effective date: February 14, 2014

\* This timeline is subject to change during the rulemaking process. This timeline will be updated when changes occur.

## LSA Document #13-7— Insurance Holding Company Systems

### Subject Matter of the Rule:

Amends 760 IAC 1-15.1-2 regarding definitions of terms used in rules for insurance holding company systems. Amends 760 IAC 1-15.1-3 regarding forms and general requirements. Adds 760 IAC 1-15.1-3.5 regarding transactions subject to prior notice. Amends 760 IAC 1-15.1-4 regarding Form A statements. Amends 760 IAC 1-15.1-5 regarding Form B statements. Amends 760 IAC 1-15.1-6 regarding Form C statements. Amends 760 IAC 1-15.1-7 regarding Form D statements. Adds 760 IAC 1-15.1-7.1 regarding Form E statements. Adds 760 IAC 1-15.1-7.2 regarding Form F statements. Amends 760 IAC 1-15.1-10 regarding forms and amendments. Amends 760 IAC 1-15.1-12 regarding requests by domestic insurers for approval of extraordinary dividends or any other extraordinary distribution to securityholders. Amends 760 IAC 1-15.1-13 regarding disclaimers and termination of registration. Amends 760 IAC 1-15.1-14 regarding incorporation of answers by reference. Amends 760 IAC 1-15.1-15 regarding additional information and exhibits. Effective 30 days after filing with the Publisher.

### Notices Related to the Proposed Rule:

- Notice of Intent <http://www.in.gov/legislative/iac/20130116-IR-760130007NIA.xml.pdf>
- Proposed Rule <http://www.in.gov/legislative/iac/20130522-IR-760130007PRA.xml.pdf>
- Notice of Public Hearing <http://www.in.gov/legislative/iac/20130522-IR-760130007PHA.xml.pdf>
- Economic Impact Statement <http://www.in.gov/legislative/iac/20130522-IR-760130007EIA.xml.pdf>
- Comments of the Indiana Economic Development Corporation  
<C:\Users\MBrumbaugh\Desktop\IEDC Response Letter 13-7.pdf>

### Public Comments May Be Made:

Via e-mail: [mbrumbaugh@idoi.in.gov](mailto:mbrumbaugh@idoi.in.gov)  
Via facsimile: (317) 232-5251  
Via U.S. mail: Meggan Brumbaugh  
Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

### Time Within Which Public Comments May Be Made:

Public comments may be made now and through the date of the public hearing.

**Public Comments May Be Inspected Upon Request At:**

Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

**Requests for Public Hearing:**

None.

**Public Hearing Information:**

June 13, 2013  
2:00 p.m.  
Department of Insurance  
311 West Washington Street  
Suite 103  
Indianapolis, IN 46204

**Relevant Scientific and Technical Findings Related to the Proposed Rule:**

None.

**Timetable for Action\*:**

Date of filing of proposed rule: April 26, 2013  
Date of public hearing: June 13, 2013  
Date of final adoption: December 5, 2013  
Date of filing with the Office of the Attorney General: December 6, 2013  
Anticipated date of review by the Governor: January 15, 2014  
Anticipated effective date: February 14, 2014

\* This timeline is subject to change during the rulemaking process. This timeline will be updated when changes occur.